



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Koji NAKAKUBO et al.**

Group Art Unit: **2655**

Serial Number: **10/765,187**

Examiner: **Not Yet Assigned**

Filed: **January 28, 2004**

PTO Confirmation No.: **9857**

For: **CARD-TYPE TERMINAL**

Attorney Docket No.: **042049**

Customer No.: **38834**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

August 31, 2004

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO Form-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted before the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, and prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the

information disclosure statement and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

By: 

William F. Westerman

Reg. No.: 29,988

Attorney for Applicants

Tel: (202) 822-1100

Fax: (202) 822-1111

Attachments: Form PTO-1449 w/7 references
WFW/rer



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 042049	Serial No.: 10/765,187
	Applicant(s): Koji NAKAKUBO et al.	
	Filing Date: January 28, 2004	Group Art Unit: 2655

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA	5,971,280	Hoolhorst	10/26/99	235	486	
	AB	6,101,372	Kubo	8/8/00	455	90	
	AC	6,261,113 B1	Chen	7/17/01	439	260	
	AD	US2002/0006 751 A1	Bricaud et al.	1/17/02	439	630	
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AG	EP 0 743 717 A2	11/20/96	Europe	In English
	AH	EP 0 743 717 A3	2/2/00	Europe	Abstract Only
	AI				
	AJ				

OTHER DOCUMENTS

_____	AK	Communication – European Search Report dated August 2, 2004
_____	AL	
Examiner		Date Considered